



CASE 4-31625B (167-54)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF Albert et al.

ART UNIT: 1626

APPLICATION NO: 10/660,442

EXAMINER: Anderson, R.L.

FILED: September 11, 2003

DATED: September 11, 2006

FOR: INDOLYLMALEIMIDE DERIVATIVES

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT**

Sir:

In response to the Office Action of March 9, 2006, please amend the application  
as follows:

**A listing of claims** begins on page 2 of this paper.

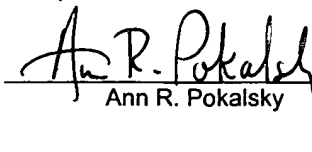
**Remarks/Arguments** begin on page 6 of this paper.

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 11, 2006.

Dated: September 11, 2006

  
Ann R. Pokalsky



DFW

CASE 4-31625B (167-54)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF Albert et al.

ART UNIT: 1626

APPLICATION NO: 10/660,442

EXAMINER: Anderson, R.L.

FILED: September 11, 2003

DATED: August 1, 2005

FOR: INDOLYLMALEIMIDE DERIVATIVES

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. "1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. "1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate (Large Entity)	Addit. Fee
TOTAL CLAIMS*	5	20	0	x 25 =	\$0.00	x 50 =	\$0.00
INDEPENDENT CLAIMS	1	3	0	X100 =	\$0.00	x200 =	\$0.00
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				180.00		360.00	\$0.00

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. '1.8(a)

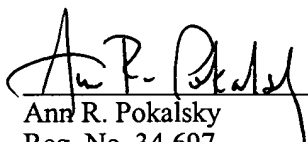
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 11, 2006

Anna R. Pokalsky

- ☐ Please charge Deposit Account No. 04-1121 in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.
- ☐ A check in the amount of \$\_\_ is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. "1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. A DUPLICATE OF THIS SHEET IS ENCLOSED.

Respectfully submitted,



Ann R. Pokalsky  
Reg. No. 34,697  
Attorney for Applicant(s)

Novartis  
Corporate Intellectual Property  
One Health Plaza, 104/3  
East Hanover, NJ 07936-1080  
(862) 778-7909